

SARACEN INVESTMENT FUNDS ICVC APPLICATION FORM
use only

For Office

Source :

Important - Please complete using **BLOCK CAPITALS** and return this form together with your cheque to your Independent Financial Adviser or send to: **SARACEN INVESTMENT FUNDS ICVC, Capita Financial Administrators Ltd, 2 The Boulevard, City West One Office Park, Leeds, LS12 6NT. Telephone number : 0845 608 1463, Facsimile number : 0113 224 6001**

SECTION A : PERSONAL OR CORPORATE DETAILS

1st Applicant (this will be the registered address in all cases)

TITLE [] **SURNAME** []

FULL FORENAMES []

or :

If other title []

(e.g. trust or corporate investor), name of registered holder

ADDRESS []

[] **POSTCODE** []

TEL NO. (Day) [] **TEL NO. (Evening)** []

Account designation, or if your investment is on behalf of a child, please write the child's initials here []

SECTION B : JOINT HOLDERS

SURNAME (Mr/Mrs etc) [] **2nd** [] **3rd** [] **4th** []

FULL FORENAMES [] [] [] []

SECTION C : INVESTMENT DETAILS

ACCUMULATION ALPHA SHARES

Please note that the minimum initial investment is £1,000 and the minimum additional investment is £250

I/we wish to invest £ []

ACCUMULATION BETA SHARES

Please note that the minimum initial investment is £250,000 and the minimum additional investment is £10,000

I/we wish to invest £ []

Cheques should be made payable to **SARACEN INVESTMENT FUNDS ICVC - TRADING A/C** and sent to the address detailed above. Payment must be by way of a cheque drawn on a UK bank account.

Please note that bank transfer facilities are available for subscriptions for the Beta Shares. You will receive bank instructions separately.

SECTION D : AGENTS COMMISSION

Independent Financial Advisers Stamp

SRO

MEMBER NO.

FSA REG. NO.

TEL NO.

SP - .././..

For arranging this investment
commission will be paid to

of

£

We have/do not have a full client agreement specifying that cancellation rights will not apply with the applicants(s) named on this form.**SECTION E : DECLARATION**

- **I/we** declare that this application form has been completed to the best of **my/our** knowledge and belief.
- **I/we** enclose a cheque made payable to Saracen Investment Funds ICVC – Trading A/C for the lump sum amount to be invested and/or the first monthly payment and confirm that all these funds are **my/our** own.
- **I/we understand that you may require additional information from me/us in accordance with the money laundering regulations.**
- **I/we have read and understood, and agree to be bound by, the key features.**

Signatures

Date

Corporate application - If you are investing on behalf of a company, please be aware that we will require two authorised signatures and a company stamp to proceed with your application.**Your right to cancel** - You have the right to cancel provided you are being advised by an independent financial adviser and your agreement with the adviser includes the right to cancel. However, should you cancel, you will not get back all of your money if the unit price has fallen since your investment was made. The right to cancel gives you 14 days from the date you receive your cancellation notice in which to change your mind and notify us in writing. The cancellation notice is sent with your contract note.**CHECKLIST**

1. Have you completed **PERSONAL DETAILS** section correctly?
2. Have you applied for the **appropriate class of Shares?** i.e. **Alpha Shares** or **Beta Shares**
3. Have you completed the box detailing the **amount you wish to invest?**
4. Have you enclosed a **signed cheque** for the **relevant amount?**
5. Have you made the cheque payable to **Saracen Investment Funds ICVC - Trading A/C?**
6. Have you **signed and dated the Application Form** and addressed this to **Capita Financial Administrators Ltd?**

OFFICE USE ONLY

POST	DATE		TIME			
Party/Client No.			Joint Party/Client No.			
Contract/Order No.			Party/Agent No.			
Payment Reference			Money Laundering		YES/NO	
Authorisation	Deal		Client		Settled	
Commission			Paid		Discount	